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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 00-1185)

In the Application of:

Michael Freed et al.

Serial No. 09/941,280

Filed: August 27, 2001

For: System and Method for Traffic  
Shaping Based on Generalized  
Congestion and Flow Control

Before the Examiner: TBA

Group Art Unit: 2661

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TRANSMITTAL LETTER

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In regard to the above identified application,

1. We are transmitting herewith the attached:

- a) Information Disclosure Statement Letter;
- b) Form PTO-1449;
- c) 156 Cited References; and
- d) Postcard.

2. With respect to fees:

- a) No fee is required.
- b) Please charge any underpayment or credit any overpayment our Deposit Account, No. 13-2490.

3. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231 on June 5, 2002.

By: Monika Dudek  
Monika Dudek  
Reg. No. 47,538



PATENT

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(Case No. 00-1185)

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Congestion and Flow Control	)	

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Washington, D.C. 20231

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INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to the duty of disclosure provided by 35 C.F.R. § 1.56 and §§ 1.97-98, the applicants wish to make the following references of record in the above-identified application. Copies of the references are enclosed. Copies are also listed in the PTO-1449 form enclosed herewith. It is requested that each document cited (including any cited in applicant's specification which is not repeated on the attached Form PTO-1449) be given thorough consideration and that it be cited of record in the prosecution history of the present application by initialing on Form PTO-1449. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

Portions of the references may be material to the examination of the pending claims, however no such admission is intended. 37 C.F.R. 1.97 (h). The references have not been reviewed in sufficient detail to make any other representation and, in particular, no representation is intended as to the relative importance of any portion of the references. This Statement is not a representation that the cited references have effective dates early enough to be “prior art” within the meaning of 35 U.S.C. sections 102 or 103.

### **CITED REFERENCES**

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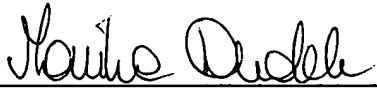
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Respectfully submitted,

McDonnell Boehnen Hulbert & Berghoff

Date: June 5, 2002

By:

  
Monika Dudek  
Registration No. 47,538

FORM PTO-1449 (Rev. 2-32)	U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. 00-1185	Serial No. 09,929,280
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use several sheets if necessary)			
	Filing Date: August 27, 2001	Group: 2661	



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	2.	5,608,446	03-04-97	Carr et al.	348	6	05-09-95
	3.	5,854,901	12-29-98	Cole, et al.	709	245	07-23-96
	4.	5,923,659	07-13-99	Curry, et al.	370	401	09-20-96
	5.	5,872,523	02-16-99	Dellaverson, et al.	340	825.52	03-12-96
	6.	5,790,677	08-04-98	Fox, et al.	380	24	06-29-95
	7.	5,819,042	10-06-98	Hansen	395	200.52	02-20-96
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FORM PTO-1449  
(Rev. 2-32)

U.S. Department of Commerce  
Patent and Trademark Office

Atty. Docket No.

00-1185

Serial No.

007941-280

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several sheets if necessary)



Applicant:  
Michael Freed et al.

Filing Date:  
August 27, 2001

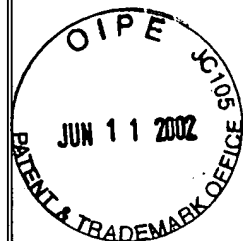
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FORM PTO-1449 (Rev. 2-32)	U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. 00-1185	Serial No. 09/941,280
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		<b>Filing Date:</b> August 27, 2001	<b>Group:</b> 2661



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	63.	5,913,037	06-15-99	Spofford et al.	395	200.56	07-03-96
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FORM PTO-1449 (Rev. 2-32)	U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. 00-1185	Serial No. 09/941,289
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use several sheets if necessary)		Applicant: Michael Freed et al.	
		Filing Date: August 27, 2001	Group: 2661

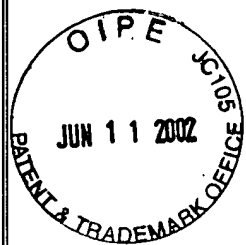


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